

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of  
Masaru HIDAKA et al.

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DECLARATION OF UNDER 37 C.F.R. 1.132

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Honorable Commissioner of  
Patents and Trademarks  
Alexandria, VA 22313-1450

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I, Takaharu NAKAGAWA, residing at 2-16-19 Kikumidai,  
Heguri-cho, Ikoma-gun, Nara, Japan, declare and say as  
follows:

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1. I am one of the joint inventors of the above  
identified application;

2. I graduated from the Department of Chemical  
Engineering, Faculty of Engineering, Kobe University, Hyogo,  
Japan in March 1981 and received a Master Degree in Chemical  
Engineering from the Graduate School of Kobe University in  
March 1983;

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3. Since April 1983 to the present, I have been  
employed by Panasonic Electric Works Co., Ltd., the former  
Matsushita Electric Works, Ltd. Since 1983 to 2001, I was  
engaged in the research works on the development of energy  
equipment-related technology, garbage processor-related  
30 technology, deodorization treatment-related technology, and  
so on. Since 2001 to the present, I have been engaged in  
the research works on the development of FRP recycling  
technology.

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4. I read the Office Action issued on April 3, 2009 in  
the above identified application and the prior arts cited  
therein.

Then, I carried out experiments for showing that the effects of the present invention are obtained only by decomposing a crosslinked polyester having no chlorine with sub- or supercritical water in the presence of a base selected from  $\text{CaCO}_3$ ,  $\text{BaCO}_3$  and  $\text{Ca(OH)}_2$  in an amount of 50 to 200 parts by weight relative to 100 parts by weight of the crosslinked polyester, and such effects are not obtained by decomposing polymers other than a crosslinked polyester having no chlorine.

I beg to report the results of the experiments below.

#### Experiment

(Experimental Example 1)

(Experimental Example 1-1)

The same procedure as in Comparative Example 1 of the present specification was repeated except for using an ABS resin (Toray Industries, Inc., "Toyolac 100") as a cured resin instead of an unsaturated polyester resin. The undecomposed resin existed in the content of the reaction tube 13 as shown in Fig. 2 of the present specification, and the decomposition rate was calculated. The results of Experimental Example 1-1 are shown in Table A.

(Experimental Example 1-2)

The same procedure as in Experimental Example 1-1 was repeated except for using calcium carbonate as a water-insoluble base. The undecomposed resin existed in the content of the reaction tube 13, and the decomposition rate was calculated. The results of Experimental Example 1-2 are shown in Table A.

(Experimental Example 1-3)

The same procedure as in Experimental Example 1-2 was repeated except for using calcium hydroxide as a water-insoluble base instead of calcium carbonate. The undecomposed resin existed in the content of the reaction tube 13, and the decomposition rate was calculated. The results of Experimental Example 1-3 are shown in Table A.

(Experimental Example 1-4)

The same procedure as in Experimental Example 1-2 was repeated except for using barium carbonate as a water-insoluble base instead of calcium carbonate. The undecomposed resin existed in the content of the reaction tube 13, and the decomposition rate was calculated. The results of Experimental Example 1-4 are shown in Table A.

(Experimental Example 2)

(Experimental Example 2-1)

The same procedure as in Comparative Example 1 of the present specification was repeated except for using a polypropylene (Japan Polypropylene Corporation, "FX4E") as a cured resin instead of an unsaturated polyester resin. The undecomposed resin existed in the content of the reaction tube 13 as shown in Fig. 2 of the present specification, and the decomposition rate was calculated. The results of Experimental Example 2-1 are shown in Table B.

(Experimental Example 2-2)

The same procedure as in Experimental Example 2-1 was repeated except for using calcium carbonate as a water-insoluble base. The undecomposed resin existed in the content of the reaction tube 13, and the decomposition rate was calculated. The results of Experimental Example 2-2 are shown in Table B.

(Experimental Example 2-3)

The same procedure as in Experimental Example 2-2 was repeated except for using calcium hydroxide as a water-insoluble base instead of calcium carbonate. The undecomposed resin existed in the content of the reaction tube 13, and the decomposition rate was calculated. The results of Experimental Example 2-3 are shown in Table B.

10 (Experimental Example 2-4)

The same procedure as in Experimental Example 2-2 was repeated except for using barium carbonate as a water-insoluble base instead of calcium carbonate. The undecomposed resin existed in the content of the reaction tube 13, and the decomposition rate was calculated. The results of Experimental Example 2-4 are shown in Table B.

(Experimental Example 3)

(Experimental Example 3-1)

20 The same procedure as in Comparative Example 1 of the present specification was repeated except for using a cured resin obtained by curing a resol resin used in a phenolic resin molding material (Panasonic Electric Works Co., Ltd., PN: CY9610) at 150°C for 20 min. as a cured resin instead of an unsaturated polyester resin. The undecomposed resin existed in the content of the reaction tube 13 as shown in Fig. 2 of the present specification, and the decomposition rate was calculated. The results of Experimental Example 3-1 are shown in Table C.

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(Experimental Example 3-2)

The same procedure as in Experimental Example 3-1 was repeated except for using calcium carbonate as a water-

insoluble base. The undecomposed resin existed in the content of the reaction tube 13, and the decomposition rate was calculated. The results of Experimental Example 3-2 are shown in Table C.

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(Experimental Example 3-3)

The same procedure as in Experimental Example 3-2 was repeated except for using calcium hydroxide as a water-insoluble base instead of calcium carbonate. The undecomposed resin existed in the content of the reaction tube 13, and the decomposition rate was calculated. The results of Experimental Example 3-3 are shown in Table C.

(Experimental Example 3-4)

The same procedure as in Experimental Example 3-2 was repeated except for using barium carbonate as a water-insoluble base instead of calcium carbonate. The undecomposed resin existed in the content of the reaction tube 13, and the decomposition rate was calculated. The results of Experimental Example 3-4 are shown in Table C.

[Table A]  
(ABS resin)

|                           | Exp. Ex.<br>1-1 | Exp. Ex.<br>1-2   | Exp. Ex.<br>1-3     | Exp. Ex.<br>1-4   |
|---------------------------|-----------------|-------------------|---------------------|-------------------|
| Decomposition temperature | 360°C           | 360°C             | 360°C               | 360°C             |
| Decomposition pressure    | 18.7 MPa        | 18.7 MPa          | 18.7 MPa            | 18.7 MPa          |
| Decomposition time        | 20 min.         | 20 min.           | 20 min.             | 20 min.           |
| Base                      | -               | CaCO <sub>3</sub> | Ca(OH) <sub>2</sub> | BaCO <sub>3</sub> |
| pH before Decomposition   | 7.9             | 9.7               | 13.4                | 9.4               |
| pH after Decomposition    | 10.2            | 9.9               | 13.4                | 10.0              |
| Decomposition rate        | 9.0%            | 7.5%              | 5.9%                | 6.3%              |

5 [Table B]  
(Polypropylene)

|                           | Exp. Ex.<br>2-1 | Exp. Ex.<br>2-2   | Exp. Ex.<br>2-3     | Exp. Ex.<br>2-4   |
|---------------------------|-----------------|-------------------|---------------------|-------------------|
| Decomposition temperature | 360°C           | 360°C             | 360°C               | 360°C             |
| Decomposition pressure    | 18.7 MPa        | 18.7 MPa          | 18.7 MPa            | 18.7 MPa          |
| Decomposition time        | 20 min.         | 20 min.           | 20 min.             | 20 min.           |
| Base                      | -               | CaCO <sub>3</sub> | Ca(OH) <sub>2</sub> | BaCO <sub>3</sub> |
| pH before Decomposition   | 8.6             | 9.7               | 13.4                | 9.4               |
| pH after Decomposition    | 6.8             | 7.4               | 13.3                | 7.2               |
| Decomposition rate        | 2.1%            | 2.0%              | 2.2%                | 2.9%              |

[Table C]  
(Phenolic resin)

|                           | Exp. Ex.<br>3-1 | Exp. Ex.<br>3-2   | Exp. Ex.<br>3-3     | Exp. Ex.<br>3-4   |
|---------------------------|-----------------|-------------------|---------------------|-------------------|
| Decomposition temperature | 360°C           | 360°C             | 360°C               | 360°C             |
| Decomposition pressure    | 18.7 MPa        | 18.7 MPa          | 18.7 MPa            | 18.7 MPa          |
| Decomposition time        | 20 min.         | 20 min.           | 20 min.             | 20 min.           |
| Base                      | -               | CaCO <sub>3</sub> | Ca(OH) <sub>2</sub> | BaCO <sub>3</sub> |
| pH before Decomposition   | 8.6             | 9.7               | 13.4                | 9.4               |
| pH after Decomposition    | 5.9             | 6.5               | 13.0                | 6.5               |
| Decomposition rate        | 7.8%            | 10.8%             | 16.4%               | 12.3%             |

5           The undersigned declares further that all statements  
made herein of this own knowledge are true and that all  
statements made on information and belief are believed to be  
true; and further that these statements were made with the  
knowledge that willful false statements and the like so that  
10 made are punishable by fine or imprisonment, or both, under  
18 U.S. Code 1001 and that such willful false statements may  
be jeopardize the validity of this application or any patent  
issuing thereon.

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*Takaharu Nakagawa*

Takaharu NAKAGAWA

Dated this 30 day of June, 2009